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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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COHEN, PONTANI, LIEBERMAN & PAVANE
Suite 1210
551 Fifth Avenue
New York, NY 10176

EXAMINER

CHAI, LONGBIT

ART UNIT	PAPER NUMBER
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2131

DATE MAILED: 09/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/989,806

Applicant(s)

HAUKKA ET AL.

Examiner

Longbit Chai

Art Unit

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 25 July 2005.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) _____ is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-63 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 01 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____.
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____.

DETAILED ACTION

1. Claims 1 – 74 have been presented for examination. Claims 64 – 74 have been canceled; claims 1, 24 and 47 have been amended have been added in an amendment filed 7/25/2005.

Response to Arguments

2. Applicant's arguments filed on 7/25/2005 with respect to instant claims have been fully considered but are moot in view of the new ground(s) of rejection – See the following Office action set forth below.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

A person shall be entitled to a patent unless –

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1 – 2, 21 – 25, 44 – 45, 47 – 48 and 61 – 63 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vatanen (Publication Number: 2003/0078058), and in view of Brown (Patent Number: 5668875).

As per claim 1, 24 and 47, Vatanen teaches a method for incorporating confidentiality protection in a message transmitted between a user equipment and a

network element in a communication network, wherein the message requires a sender identification and the sender of the message is one of the user equipment and the network element, the method comprising the steps of:

adding a header including the temporary identity index to the message to identify the sender of the message prior to transmission of the message between the user equipment and the network element (Vatanen: see for example, Para [0021] Line 1 – 3 and Para [0021] Line 12 – 14 & Figure 1: The MUI (pidKey) is interpreted as the temporary identity index). However, Vatanen does not disclose expressly after generation of the temporary identity index at each of the user equipment and the network equipment, adding a header including the temporary identity index to the message to identify the sender of the message prior to transmission of the message between the user equipment and the network element.

Brown teaches after generation of the temporary identity index at each of the user equipment and the network equipment, adding a header including the temporary identity index to the message to identify the sender of the message prior to transmission of the message between the user equipment and the network element (Vatanen: see for example, Para [0021] Line 1 – 3 and Para [0021] Line 12 – 14; Brown: Column 2 Line 11 – 20 and Column 2 Line 22 – 23: the integrated TMSI (Temporary Mobile Subscriber Identifier) and SRES (Signed Response) as a whole disclosed by Brown is qualified as a temporary identity index that can uniquely identify and authenticate the sender (subscriber) of the message for each communication section initiated in the GSM networks).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teaching of Brown within the system of Vatanen because (a) Vatanen teaches providing secure message method for GSM networks and utilizing MUI (Pidkey) as the Mobile User Identifier in the message header section that contains the identification of the sender (Vatanen: Para [0019] Line 4 – 5, Para [0002] and [0005]) and (b) Brown teaches an enhanced security mechanism that the sender of the message can be uniquely and securely identified and authenticated during the communication section so that the fraudulent access problems can be effectively prevented in the GSM networks (Brown: Column 2 Line 11 – 20 and Column 2 Line 22 – 23)).

assigning a temporary identity index for the sender of the message at each of the user equipment and the network element including separately performing an algorithm at each of the user equipment and the network equipment for generating the temporary identity index using public information which identifies the sender of the message as an input to the algorithm (Vatanen: Para [0021] and Para [0019] Line 3 – 7, and Para [0021] Line 4 – 5; Brown: Column 2 Line 11 – 20 and Column 2 Line 22 – 23).

As per claim 2, 25 and 48, Brown further teaches in said step (a), performing an algorithm includes performing a hash function using a private key and the public information as inputs to generate the temporary identity index (Vatanen: Para [0021]; Brown: Column 2 Line 17: using the user's authentication private key as taught by

Brown as the input parameter to the algorithm can more securely authenticate and identify the user).

As per claim 21, 44 and 61, Vatanen further teaches said user equipment is a mobile phone (Vatanen: see for example, Para [0018] Line 9).

As per claim 22, 23, 45, 46, 62 and 63, claims 22, 23, 45, 46, 62 and 63 do not further teach over claim 1. See the same rationale as addressed in rejecting claim 1.

4. Claims 3, 4, 9, 16, 26, 27, 32, 39, 49 and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vatanen (Patent Number: 2003/0078058), in view of Brown (Patent Number: 5668875, and in view of 3G-TS-33.203 ("3GPP Access Security for IP-Based Services").

As per claim 3 and 26, Vatanen as modified does not disclose expressly the public information used in said step (a) is an internet protocol multimedia public identity of the user equipment.

3G-TS-33.203 teaches the public information used in said step (a) is an internet protocol multimedia public identity of the user equipment (3G-TS-33.203: see for example, Sec. 3.3 – IMPU (Internet Multimedia Public Identity)).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teaching of 3G-TS-33.203 within the system of

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Vatanen as modified because (a) Vatanen teaches signing / encrypting of the sender identity and the integrity of a message in the Global System for Mobile Communications telecommunication networks (Vatanen: see for example, Para [0002] and [0005]) and (b) 3G-TS-33.203 teaches enhancing the security features for secure access to the Internet Multimedia subsystem for the 3G mobile telecommunication system (3G-TS-33.203: see for example, Scope section).

As per claim 4, 27 and 49, Vatanen as modified does not disclose expressly registering the user equipment with the visiting network before said step (a).

3G-TS-33.102 teaches registering the user equipment with the visiting network before said step (a) (3G-TS-33.203: see for example, Section 6.1.1 and Sec. 3.3 – IMPU (Internet Multimedia Public Identity): Registration of an IM-subscriber provides the public identity, and thereby registration must be proceeded first in order to perform the hash function and further assign a temporary identity index for the sender of the message).

See the same rationale applied herein as above in rejecting claim 3.

As per claim 9, 32 and 52, Vatanen as modified does not disclose expressly the message is a session initiation protocol message.

3G-TS-33.203 teaches the message is a session initiation protocol message (3G-TS-33.203: see for example, section of Scope). See the same rationale applied herein as above in rejecting claim 3.

Vatanen in view of 3G-TS-33.203 further teaches generating the session initiation protocol message and encrypting the session initiation protocol message before performing said step (b) (Vatanen: see for example, Figure 1 & Para [0012] Line 1 – 6); and wherein said step (b) includes adding another line including the temporary identity index before the encrypted session initiation protocol message (Vatanen: see for example, Para [0021] Line 12 – 13).

As per claim 16 and 39, Vatanen as modified further teaches performing an integrity algorithm for the entire session initiation protocol message to calculate a code and adding an integrity header to the session initiation protocol message indicating the code (Vatanen: see for example, Para [0018] and Figure 1).

5. Claims 5 – 8, 28 – 31, 50 and 51 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vatanen (Patent Number: 2003/0078058), in view of Brown (Patent Number: 5668875, and in view of 3G-TS-33.203 (3GPP Access Security for IP-Based Services), and in view of 3G-TS-33.102 ("3GPP Security Architecture").

As per claim 5, 28 and 50, Vatanen as modified 3 does not disclose expressly registering comprises sending, by the user equipment, a registration message to the network element, and retrieving, by the visiting network, the private key from a home network of the user equipment.

3G-TS-33.102 teaches registering comprises sending, by the user equipment, a registration message to the network element, and retrieving, by the visiting network, the private key from a home network of the user equipment (3G-TS-33.102: see for example, Page 17 Figure 4. Both of CK (Ciphering Key) and IK (Integrity Key) are considered as the private keys).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teaching of 3G-TS-33.102 within the system of Vatanen as modified because 3G-TS-33.102 further enhancing the security by providing a mutual authentication mechanism between the user and the network showing the knowledge of a secret key (3G-TS-33.102: see for example, Page 16 Sec. 6.3).

As per claim 6 and 29, Vatanen as modified further teaches the user equipment is authenticated after the network element retrieves the private key from the home network (3G-TS-33.102: see for example, Page 16 Sec. 6.3).

As per claim 7, 30 and 51, Vatanen as modified further teaches the private key comprises one of a ciphering key and an integrity key (3G-TS-33.102: see for example, Page 17 Figure 4. Both of CK (Ciphering Key) and IK (Integrity Key) are considered as the private keys).

As per claim 8 and 31, Vatanen as modified further teaches determining an encryption algorithm and saving the private key, the encryption algorithm, and the

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temporary identity index in a memory in the visiting network (Vatanen: see for example, Para [0021] Line 9 – 11).

6. Claims 10 – 15, 17 – 20, 33 – 38, 40 – 43 and 53 – 60 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vatanen (Patent Number: 2003/0078058), in view of Brown (Patent Number: 5668875, and in view of 3G-TS-33.203 (3GPP Access Security for IP-Based Services), and in view of Moyer (Patent Number: 2002/0103850).

As per claim 10, 33 and 53, Vatanen as modified does not disclose expressly adding a line before the encrypted session initiation protocol message including a request method of the session initiation protocol message.

Moyer teaches adding a line before the session initiation protocol message including a request method of the session initiation protocol message (Moyer: see for example, Para [0022] Line 8 – 11).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teaching of Moyer within the system of Vatanen as modified because (a) Vatanen teaches signing / encrypting of the sender identity and the integrity of a message in the Global System for Mobile Communications telecommunication networks (Vatanen: see for example, Para [0002] and [0005]) and (b) Moyer teaches enhancing and improving the security features for secure access to the Internet Multimedia subsystem for the 3G mobile telecommunication system directed to SIP message (Moyer: see for example, Para [0028]).

Vatanen further teaches adding a line before the encrypted session initiation protocol message including a request method of the session initiation protocol message (Vatanen: see for example, Para [0012] Line 1 – 6).

As per claim 11, 34 and 54, Vatanen as modified does not disclose expressly the session initiation protocol message includes a line including the request method.

Moyer teaches the session initiation protocol message includes a line including the request method (Moyer: see for example, Para [0022] Line 8 – 11). See the same rationale applied herein as above in rejecting claim 10.

Vatanen further teaches session initiation protocol message includes a line including the request method that is encrypted with the session initiation protocol message (Vatanen: see for example, Para [0012] Line 9 – 11; Moyer: see for example, Para [0022] Line 8 – 11).

As per claim 12, 20, 35, 43, 55 and 60, Vatanen as modified does not disclose expressly adding another line comprises adding a call-info header.

Moyer teaches adding another line comprises adding a call-info header (Moyer: see for example, Para [0022] Line 1 – 3). See the same rationale applied herein as above in rejecting claim 10.

Vatanen further teaches inserting the temporary identity index in the call-info header of the session initiation protocol message (Vatanen: see for example, Figure 1 & Para [0021]).

As per claim 13, 36 and 56, Vatanen as modified further teaches performing an integrity algorithm for the entire session initiation protocol message to calculate a code and adding an integrity header to the session initiation protocol message indicating the code (Vatanen: see for example, Para [0018] Line 12 – 17).

As per claim 14, 17, 37, 40 and 57, Vatanen as modified further teaches said integrity algorithm comprises one of a message authentication code integrity algorithm and a modification detection code integrity algorithm (Vatanen: see for example, Para [0018] Line 12).

As per claim 15, 18, 38, 41 and 58, Vatanen as modified further teaches said integrity algorithm comprises MD5-MAC integrity algorithm (Vatanen: see for example, Para [0018] Line 12).

As per claim 19, 42 and 59, Moyer further teaches encrypting a uniform resource identifier for the sender and adding the encrypted uniform resource identifier to the line including the request method (Moyer: see for example, Para [0038]).

Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP

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§ 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Longbit Chai whose telephone number is 571-272-3788. The examiner can normally be reached on Monday-Friday 8:00am-4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ayaz R. Sheikh can be reached on 571-272-3795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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LBC

Longbit Chai
Examiner
Art Unit 2131

Chai
Primary Examiner
Art Unit 2131
9/23/05